



# UNITED STATES DEPARTMENT OF COMMERCE

### **Patent and Trademark Office**

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	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	<u> </u>	ATTORNEY DOCKET NO.
Γ	FOUR EMBA SULTE 340	RCADERO CEI			EXAMINER NAUGH, 5 PAPER NUMBER
				DATE MAILED	02/07/97

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks



## Office Action Summary

Application No. **08/714,964** 

Applicant(s)

Hockerson

Examiner

Ted Kavanaugh

Group Art Unit 3208



X Responsive to communication(s) filed on Sep 17, 19	96				
☐ This action is <b>FINAL</b> .					
☐ Since this application is in condition for allowance ex in accordance with the practice under <i>Ex parte Quay</i>	ccept for formal matters, prosecution as to the merits is closed de, 1935 C.D. 11; 453 O.G. 213.				
is longer, from the mailing date of this communication.	is set to expire3month(s), or thirty days, whichever Failure to respond within the period for response will cause the Extensions of time may be obtained under the provisions of				
Disposition of Claims					
X Claim(s) 1, 2, and 4	is/are pending in the application.				
Of the above, claim(s)	is/are withdrawn from consideration.				
Claim(s)	is/are allowed.				
X Claim(s) 1, 2, and 4	is/are rejected.				
	is/are objected to.				
	are subject to restriction or election requirement.				
Application Papers					
☐ See the attached Notice of Draftsperson's Patent	Drawing Review, PTO-948.				
The drawing(s) filed on is/a	are objected to by the Examiner.				
☐ The proposed drawing correction, filed on	is $\square$ approved $\square$ disapproved.				
$\hfill\Box$ The specification is objected to by the Examiner.					
$\square$ The oath or declaration is objected to by the Exam	niner.				
Priority under 35 U.S.C. § 119					
Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).					
☐ All ☐ Some* ☐ None of the CERTIFIED of	copies of the priority documents have been				
received.					
received in Application No. (Series Code/Se					
*Certified copies not received:	rom the International Bureau (PCT Rule 17.2(a)).				
Acknowledgement is made of a claim for domest					
Attachment(s)  Notice of References Cited, PTO-892					
☐ Information Disclosure Statement(s), PTO-1449,	Paper No(s).				
☐ Interview Summary, PTO-413	·				
☐ Notice of Draftsperson's Patent Drawing Review,	PTO-948				
☐ Notice of Informal Patent Application, PTO-152					
SEE OFFICE ACTION ON THE FOLLOWING PAGES					

Serial Number: 08/714964 Page 2

Art Unit: 3208

#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1,2 and 4 is/are rejected under 35 U.S.C. 103(a) as being unpatentable over WO 9111924 (Ellis '924) in view of US 9105491 (Ellis '491).

Ellis '924 discloses an athletic shoe comprising a midsole (midsole 127 in figure 7 or midsole 127 in figure 8 or the midsole as shown in figure 13, Ellis also refers to the midsole used in his prior applications) and a outsole, a lasting board (shank represents a lasting board, see page 23, lines 6-32; and/or see page 9, lines 4-13 which specifically recites a lasting board), a rigid heel counter (Ellis teaches that these slits/sipes can be applied to conventional shoes, and conventional shoes are well known to have rigid heel contours, see page 17, lines 31-33 and figure 1), a longitudinal channel (slits/sipes 151) in the midsole and outsole dividing the heel portion into a pair of laterally adjacent compression elements which are spaced apart an effective distance (see figure 3E and page 8, lines 3-6) substantially as claimed except for the channel extending through the peripheral rim. Ellis '924 on page 17, second paragraph does make reference to figures 13A-13D of the prior '509 application. The '509 application is US Appl. Serial No. 424509 which is

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Serial Number: 08/714964 Page 3

Art Unit: 3208

WO 9105491 (Ellis '491). Ellis '491 discloses that the channels (sipes) can either extend through the peripheral rim (figure 13A) or not extend through the peripheral rim (figure 13B). Therefore it would have been obvious to one of ordinary skill in the art to provide the shoe of Ellis '924 as taught above with the channel extending through the peripheral rim, as taught by Ellis '491, to provide more flexibility to the shoe sole.

With regard to claim 2, Ellis '924 teaches that the slits/sipes (channels) 151 can vary in number beginning with one (see page 7, line 35-37) and that the slits/sipes can only be in the heel area (see page 17, lines 31-33). Therefore, it would appear to be an obvious design choice to have a longitudinal channel extend through only the heel portion of the sole as taught above, in view of Ellis '924, inasmuch as the channels would appear to be suitable in a number of different locations in the sole.

It is noted that one of the key elements of Ellis '924 "is the absence of either a conventional rigid heel counter or conventional rigid motion control devices, both of which significantly reduce flexibility in the frontal plane", page 9, lines 19-25. However, throughout the disclosure Ellis teaches that the slits/sipes (channels) can be applied to conventional shoes and/or existing shoes, for example: see page 8, lines 16-17; page 10, line 18 thru line 2 of page 11; and page 17, lines 31-33.

Serial Number: 08/714964 Page 4

Art Unit: 3208

#### Conclusion

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ted Kavanaugh whose telephone number is (703) 308-1244.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-1148. Facsimile correspondence for this application should be sent to (703) 305-3579.

Ted Kavanaugh <sup>2</sup> Primary Examiner Art Unit 3208

TK February 7, 1997